

Investor Allocation Commitment Form

Complete this form to confirm your allocation commitment with Ascenda Enterprise Capital LLC. All fields required.
Submission subject to review and approval by AEC leadership.

1. INVESTOR INFORMATION

Full Legal Name

Entity Name (if applicable)

Email Address

Phone Number

State / Country of Residence

SSN / EIN (last 4 digits only)

☐ I confirm I am an Accredited Investor as defined under SEC Regulation D, Rule 501 (Net worth >\$1M excl. primary residence, OR income >\$200K individual / \$300K joint for last 2 years)

2. ALLOCATION TIER SELECTION

Select the tier that represents your intended allocation range:

TIER	RANGE	LABEL	INCLUDES
TIER 1	\$100,000 – \$999,999	Entry Level	Quarterly reporting · Investor portal access · AEC newsletter
TIER 2	\$1,000,000 – \$9,999,999	Standard Institutional	All Tier 1 benefits · Bi-annual strategy call · Priority deal access
TIER 3	\$10,000,000 – \$750,000,000	Premier Institutional	All Tier 2 benefits · Direct CEO access · Co-investment rights · Board advisory consideration

3. EXACT ALLOCATION AMOUNT

Enter the exact dollar amount you intend to allocate:

\$

USD · Wire transfer upon execution of formal agreement

4. ACKNOWLEDGMENTS

- ☐ I understand this form does not constitute a binding investment agreement. A formal Private Placement Memorandum (PPM) and subscription agreement will be executed prior to any capital transfer.
- ☐ I understand all investments carry significant risk including total loss of principal.
- ☐ I confirm I have reviewed AEC's regulatory disclosures and understand AEC is not registered as an Investment Adviser with the SEC.
- ☐ I agree that funds will be transferred via wire to AEC's official business account only upon execution of formal legal documentation.
- ☐ I consent to being added to the Ascenda Enterprise Capital Official Investor Registry.

INVESTOR SIGNATURE

Signature

Date (MM/DD/YYYY)

Printed Name

Title / Entity (if applicable)

FOR AEC USE ONLY

☐ Approved ☐ Declined ☐ Pending Review

Reviewed by: _____ Date: _____